

# BUS2 504. SECURITIES REGULATION.

---

Credits: 3

Offered by: Law (Faculty of Law)

This course is not offered this catalogue year.

## Description

An introduction to the structure of Canada's capital markets and a review of major features of securities regulation using the Quebec or Ontario scheme as background. An examination of the general regulatory framework for licensing of securities professionals, disclosure to investors and enforcement powers of regulators.

- Restriction: Not open to students who have taken BUS2 372.

Most students use Visual Schedule Builder (VSB) to organize their schedules. VSB helps you plan class schedules, travel time, and more.

[Launch Visual Schedule Builder](#)