CCFA 600. ETHICS IN FINANCE.

Credits: 1.5

Offered by: Administration & Governance (School of Continuing Studies)

Terms offered: Fall 2025, Winter 2026

View offerings for Fall 2025 or Winter 2026 in Visual Schedule Builder.

Description

Study of the Code of Ethics and Standards of Professional Conduct of Chartered Financial Analyst (CFA) Institute. Topics include professionalism and the integrity of capital markets, duties to clients and employers, investment analysis and recommendations, conflicts of interest, and responsibilities of CFA members and candidates.

• Restriction: Not open to students who have taken CCFA 500.

Most students use Visual Schedule Builder (VSB) to organize their schedules. VSB helps you plan class schedules, travel time, and more.

Launch Visual Schedule Builder